

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of claims:

1. (currently amended) A method for creating an incentive for an entity to limit its accumulation of debt issued by a company, the method comprising:

issuing a debt in the form of a debt instrument by the company;

purchasing the debt by the entity;

determining a debt concentration threshold by the company;

associating a condition with the debt instrument, the condition changing at least one parameter of the debt instrument, the condition occurring available when the entity holds more of the debt than the debt concentration threshold; and

changing the at least one parameter of the debt instrument upon the occurrence of the condition; and the company issuing a new debt instrument with the changed parameter.

Claims 2 – 4 (cancelled)

5. (previously presented) A method according to claim 1, further comprising monitoring holdings of debt instruments by entities.

6. (original) A method according to claim 1, further comprising changing the debt concentration threshold.

7. (original) A method according to claim 1, wherein the entity is an investor.

8. (original) A method according to claim 7, wherein the investor is an individual investor.

9. (original) A method according to claim 7, wherein the investor is an institutional investor.

10. (original) A method according to claim 1, wherein the entity is an affiliated group of investors.

11. (original) A method according to claim 1, wherein the entity is a group of investors acting in concert.

12. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.

13. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.

14. (original) A method according to claim 1, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.

15. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict voting.

16. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.

17. (original) A method according to claim 1, wherein the parameter changed by the condition serves to change the series of the debt instrument.

Claims 18 – 120 (cancelled).

121. (previously presented) A method according to claim 1, wherein the debt concentration threshold is a percentage.

122. (previously presented) A method according to claim 1, wherein the debt concentration threshold is a number of shares.

123. (previously presented) A method according to claim 1, wherein the debt concentration threshold is a dollar amount.